Targeting Emotion Regulation in the Treatment of Deliberate Self-Harm among Women with Borderline Personality Pathology: Efficacy of an Acceptance-based Emotion Regulation Group Therapy

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## Acknowledgments

<u>Co-Investigator:</u> ≻Matthew T. Tull, PhD

<u>Consultants:</u> >John Gunderson, MD
>Carl Lejuez, PhD
>Lizabeth Roemer, PhD
>Roy Levy, PhD

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#### **Deliberate Self-harm (DSH) in BPD**

**Clinically-important behavior common among patients with BPD** 

- Occurs among 70-75% of patients with BPD
- > Implicated in high levels of health care utilization

Few empirically-supported treatments for DSH within BPD

> Short-term treatments for DSH in general are not effective

Efficacious treatments for DSH in BPD (DBT and MBT) are difficult to implement in many clinical settings due to duration/intensity

Need for clinically-feasible treatments that target DSH within BPD

- > Short-term, less intensive, adjunctive
- > Target theorized function and underlying mechanisms of DSH

Gunderson, 2001; Linehan, 1993; Tyrer et al., 2004; Zanarini, 2009

#### **Role of Emotion Dysregulation in DSH**

**Emotion dysregulation is considered central to DSH** 

- Theorized to be the central underlying mechanism of DSH
- Associated with DSH in clinical and nonclinical samples
- > DSH thought to serve an emotion regulating/avoidant function

Suggests utility of targeting emotion dysregulation to treat DSH ➤ If emotion dysregulation drives DSH, decreasing emotion dysregulation will decrease the need for DSH

Brown et al., 2002; Chapman et al., 2006; Gratz, 2007; Gratz et al., 2010; Gratz & Roemer, 2008; Gratz & Tull, 2010; Linehan, 1993; Heath et al., 2008; Kleindienst et al., 2008

#### **Emotion Regulation Group Therapy for DSH in BPD**

#### **Adjunctive group treatment for DSH among women with BPD**

Designed to augment usual treatment in the community by directly targeting DSH and its proposed underlying mechanism

Targets each of the following dimensions of emotion dysregulation

> Awareness, understanding, and acceptance of emotions

> Ability to control behaviors when experiencing negative emotions

> Flexible use of strategies to modulate the intensity/duration of emotional responses, rather than to eliminate emotions entirely

> Willingness to experience distress to pursue meaningful activities

Gratz & Gunderson, 2006; Gratz & Tull, 2011; Gratz & Roemer, 2004

## **Emotion Regulation Group Therapy (ERGT)**

#### **Outline of Weekly Group Content**

Week 1	Function of deliberate self-harm behavior
Week 2	Function of emotions
Weeks 3-4	Emotional awareness
Week 5	Primary vs. secondary emotions
Week 6	Clear vs. cloudy emotions
Weeks 7-8	Emotional unwillingness vs. willingness
Week 9	Non-avoidant emotion regulation strategies
Week 10	Impulse control
Weeks 11-12	Valued directions
Weeks 13-14	<b>Commitment to valued actions</b>

## **Empirical Support for ERGT**

Two studies support utility of this ERGT in the treatment of DSH among women with BPD (Gratz & Gunderson, 2006; Gratz & Tull, 2011)

#### **Initial RCT:**

> Addition of ERGT to TAU had positive effects on DSH and emotion dysregulation (as well as BPD, depression, and anxiety)

**ERGT+TAU** had significant changes over time on all measures

#### **Open trial:**

Significant improvements in DSH and self-destructive behaviors, emotion dysregulation, BPD, depression, anxiety, social/vocational impairment

#### **Mechanism of Change in ERGT**

Examined mediating role of changes in emotion dysregulation in DSH improvement across two trials of ERGT

> Open Trial and Initial RCT

Path models examined if changes in emotion dysregulation mediated changes in DSH for Initial RCT and Open Trial treatment completers

- Use estimated latent intercept and slope factor scores
- > Initial RCT controls serve as reference group

> Models estimated using maximum likelihood with robust standard errors in Mplus

Gratz, Levy, & Tull (2012), Journal of Cognitive Psychotherapy

## **Mechanism of Change in ERGT: Results**

#### **Results provide support for full mediation across both trials**

- Standardized mediated effect for RCT: -0.45 (*SE* = .21), *p* < .05
- Standardized mediated effect for Open Trial: -0.31 (*SE* = .15), *p* < .05

#### Support emotion regulation as a mechanism of change in ERGT

- > Changes in emotion dysregulation positively related to changes in DSH
- Indirect effect of ERGT on DSH improvement through changes in emotion dysregulation was significant

## Larger RCT: Purpose

#### **Extend findings of initial RCT**

- > More socio-economically and ethnically diverse patients
- > Underserved setting (less intensive TAU)
- > Wider range of outcomes
  - > DSH and other self-destructive behaviors
  - Emotion dysregulation and experiential avoidance
  - > BPD, depression, anxiety, stress
  - Adaptive functioning (i.e., interpersonal functioning, social/vocational impairment, quality of life)

#### **Larger RCT: Aims and Hypotheses**

**<u>Primary Aims</u>**: Examine the efficacy of ERGT in a larger RCT and durability of treatment effects over a 9-month follow-up

>Outpatients randomly assigned to receive this ERGT in addition to ongoing outpatient therapy (ERGT + TAU), or to continue with their current outpatient therapy alone for 14 weeks (TAU WL)

<u>Hypotheses:</u> Addition of ERGT to usual outpatient therapy will have positive effects on DSH and self-destructive behaviors, emotion dysregulation/avoidance, psychiatric symptoms, adaptive functioning

## **Participant Screening**

#### **Inclusion criteria:**

- > Woman 18 to 60 years of age
- > History of repeated DSH, including one episode in past 6 mos.
- > Having individual therapist, psychiatrist, or case manager
- ➤ Threshold or subthreshold BPD (≥ 3 criteria for BPD on DIPD-IV)

#### **Exclusion criteria:**

- Primary psychotic disorder
- > Bipolar I disorder

Current (past month) substance dependence

## **Demographics of Intent-to-Treat Sample (N = 61)**

		ERGT+TAU (n=31)	<b>TAU WL (n=30)</b>
Mean age:		33 years	33 years
Race/ethnic mir	nority:	16.1%	26.7%
Marital status:	Single	51.7%	56.7%
	Married	25.8%	13.3%
	Separated/divorced	22.6%	3.0%
Education:	Less than high school	6.5%	6.7%
	High school graduate	54.8%	73.3%
	College graduate	25.8%	<b>16.7%</b>
Income:	< \$20,000	38.7%	57.1%
	\$20,000-60,000	32.3%	32.1%
	> \$60,000	29.0%	10.7%

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	High school graduate	54.8%	73.3%
	College graduate	25.8%	16.7%
Income:	< \$20,000	38.7%	57.1%
	\$20,000-60,000	32.3%	32.1%
	> \$60,000	29.0%	10.7%

## **Clinical Characteristics of Intent-to-Treat Sample (N=61)**

	ERGT+TAU	TAU WL
Meets criteria for BPD:	90.3%	86.7%
Suicide attempt in lifetime:	58.1%	<b>66.7</b> %
Suicide attempt in past year:	16.1%	20.0%
DSH frequency past 3 months:	35.5 ( <i>SD</i> =68.4)	28.4 (SD=39.4)
Past-yr inpatient hospitalization:	12.9%	26.7%
Total hrs/wk of ongoing therapy: Hrs/wk of individual therapy	1.2 (SD=1.4) 0.7 (SD=0.4)	2.5 (SD=2.6) 1.0 (SD=0.8)
Hrs/wk of group therapy	<b>0.4</b> ( <i>SD</i> =1.3)	<b>0.6</b> ( <i>SD</i> =1.8)
GAF score:	43.4 (SD=24.6)	40.5 (SD=19.8)

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GAF score:	<b>43.4</b> ( <i>SD</i> =24.6)	40.5 (SD=19.8)

Diagnostic Data for Intent-to-Treat Sample (N=61)				
	ERGT+TAU	TAU WL		
Lifetime Axis I disorders	· · · · · · · · · · · · · · · · · · ·	· · · · · · · · · · · · · · · · · · ·		
Mood disorder	80.6%	86.7%		
Substance use disorder	54.8%	60.0%		
Anxiety disorder	74.2%	86.7%		
Eating disorder	36.7%	42.9%		
Current Axis I disorders				
Mood disorder	41.9%	60.0%		
Anxiety disorder	54.8%	70.0%		
Eating disorder	16.7%	10.7%		
Axis II comorbidity	40.0%	53.3%		
Cluster A PD	6.7%	10.0%		
<b>Cluster B PD (other than BPD)</b>	13.3%	20.0%		
Cluster C PD	36.7%	43.3%		

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	ERGT+TAU	TAU WL		
Lifetime Axis I disorders		· · · · · · · · · · · · · · · · · · ·		
Mood disorder	80.6%	86.7%		
Substance use disorder	<b>54.8%</b>	<b>60.0%</b>		
Anxiety disorder	74.2%	86.7%		
Eating disorder	36.7%	42.9%		
Current Axis I disorders				
Mood disorder	41.9%	60.0%		
Anxiety disorder	<b>54.8</b> %	70.0%		
Eating disorder	16.7%	10.7%		
Axis II comorbidity	40.0%	53.3%		
Cluster A PD	6.7%	10.0%		
<b>Cluster B PD (other than BPD)</b>	13.3%	20.0%		
Cluster C PD	36.7%	43.3%		

#### **Outcome Measures**

#### <u> Deliberate Self-harm and Other Self-destructive Behaviors</u>

Deliberate Self-Harm Inventory (Gratz, 2001) > Frequency of DSH over specified time periods

Self-harm Inventory (Sansone et al., 1998)

> Past-month frequency of self-destructive behaviors (e.g., drug and alcohol abuse, risky sex, disordered eating, suicidal behavior)

#### Emotion Dysregulation/Avoidance

Difficulties in Emotion Regulation Scale (Gratz & Roemer, 2004) > Level of emotion dysregulation across 6 dimensions

Acceptance and Action Questionnaire (Hayes et al., 2004)

> Tendency to avoid unwanted internal experiences (e.g., emotions)

#### **Outcome Measures**

#### <u>Psychiatric Symptoms</u>

Zanarini Rating Scale for Borderline Personality Disorder (Zanarini, 2003) Clinician-administered instrument assessing change in BPD symptoms over time

Borderline Evaluation of Severity over Time (Pfohl et al., 2009) > Past-month BPD symptom severity

Beck Depression Inventory–II (Beck et al., 1996) > Current depression symptom severity

Depression Anxiety Stress Scales (Lovibond & Lovibond, 1995) > Depression, anxiety, and stress symptom severity

#### **Outcome Measures**

#### Adaptive Functioning

BPD composite of Inventory of Interpersonal Problems (Lejuez et al., 2003) ➤ Interpersonal problems relevant to BPD

Sheehan Disability Scale (Sheehan, 1983) > Social and vocational impairment due to psychological symptoms

Quality of Life Inventory (Frisch et al., 1992) ≻Life satisfaction in areas important to the individual

[All outcome measures administered pre- and post-treatment or –waitlist, and 3- and 9-months post-treatment]

## **Preliminary Analyses**

**Overall treatment dropout rate: 23.5%** 

No significant between-group differences on any demographic, clinical, or diagnostic variable, with the exception of hours/week of TAU

➢ Significantly higher among TAU WL vs. ERGT+TAU participants (t = 2.34, p < .05)</p>

## **RCT Analyses**

#### Latent growth models for TX effects

Linear growth structure modeled from pre- to post- values

Condition status modeled as influencing latent intercept and slope

>Bayesian approach to growth modeling

>Models fit using Markov chain Monte Carlo routines in M*plus* 

>Multiple imputation strategy for missing data allows use of intent-to-treat sample



#### **Results of RCT Analyses (N = 61)**

Significant effects of ERGT (with medium to large effect sizes) on:

- DSH and other self-destructive behaviors
- Emotion dysregulation
- BPD symptoms on the ZAN-BPD
- Depression and stress symptoms on the DASS
- Quality of life

Effects on experiential avoidance and interpersonal functioning approached significance (*ps* < .10) and were medium-sized

#### **RCT Analyses: Deliberate Self-Harm**



26

#### **RCT Analyses: Self-Destructive Behaviors**



#### **RCT Analyses: Emotion Dysregulation**



### **RCT Analyses: Experiential Avoidance**



#### **RCT Analyses: BPD Symptom Severity**

![](_page_29_Figure_1.jpeg)

#### **RCT Analyses: BPD Symptom Severity**

![](_page_30_Figure_1.jpeg)

31

#### **RCT Analyses: Depression Symptom Severity**

![](_page_31_Figure_1.jpeg)

32

### **RCT Analyses: Depression Symptom Severity**

![](_page_32_Figure_1.jpeg)

## **RCT Analyses: Anxiety Symptom Severity**

![](_page_33_Figure_1.jpeg)

#### **RCT Analyses: Stress Symptom Severity**

![](_page_34_Figure_1.jpeg)

#### **RCT Analyses: BPD-Relevant Interpersonal Problems**

![](_page_35_Figure_1.jpeg)

#### **RCT Analyses: Social and Vocational Impairment**

![](_page_36_Figure_1.jpeg)

#### **RCT Analyses: Quality of Life**

![](_page_37_Figure_1.jpeg)

**38** 

#### **Analyses of Maintenance of Treatment Gains**

Piecewise linear growth models used to model changes in outcomes across treatment and follow-up periods for all participants who began ERGT (n=51)

**Bayesian approach to growth modeling** 

Due to unequal intervals between assessments, DSH frequencies scaled to be the frequency of DSH per 14 weeks

➢ Models capture linear change during treatment (*Slope*<sub>1</sub>) and from posttreatment to 9-month follow-up (*Slope*<sub>2</sub>)

![](_page_38_Figure_5.jpeg)

### **Results:** Maintenance of Treatment Gains (n = 51)

Across all participants who began ERGT, significant improvements from pre- to post-treatment on all outcome measures

All gains maintained or further improved upon at 9-month follow-up

Additional significant improvements from post-treatment to 9month follow-up for

- > DSH
- Emotion dysregulation
- Experiential avoidance
- **BPD** symptoms on the BEST
- > Quality of life

No significant changes from post-treatment through 9-month follow-up on any other measure

### **Results:** Deliberate Self-Harm (Observed Means)

![](_page_40_Figure_1.jpeg)

**41** 

#### **Results: Deliberate Self-Harm**

Deliberate Self-Harm Inventory (Transformed)

![](_page_41_Figure_2.jpeg)

Slope<sub>1</sub> 95% CI = -0.05 - -0.02\* Effect size = -0.68

*Slope*<sub>2</sub> 95% CI = -0.02 - -0.01\* Effect size = -1.36

#### **Results: Self-Destructive Behaviors**

![](_page_42_Figure_1.jpeg)

#### **Results: Emotion Dysregulation**

![](_page_43_Figure_1.jpeg)

*Slope*<sub>1</sub> 95% CI = -1.40 - -0.67\* Effect size = -0.67

*Slope*<sub>2</sub> 95% CI = -0.46 - -0.08\* Effect size = -1.15

#### **Results: Experiential Avoidance**

![](_page_44_Figure_1.jpeg)

*Slope*<sub>1</sub> 95% CI = -0.47 - -0.18\* Effect size = -0.59

*Slope*<sub>2</sub> 95% CI = -0.14 - -0.01\* Effect size = -0.98

## **Results: BPD Symptom Severity**

![](_page_45_Figure_1.jpeg)

*Slope*<sub>1</sub> 95% CI = -0.66 - -0.40\* Effect size = -0.99

*Slope*<sub>2</sub> 95% CI = -0.05 – 0.02 Effect size = -1.08

\**p* < .05

**46** 

## **Results: BPD Symptom Severity**

Borderline Evaluation of Severity Over Time

![](_page_46_Figure_2.jpeg)

*Slope*<sub>1</sub> 95% CI = -0.56 - -0.21\* Effect size = -0.51

*Slope*<sub>2</sub> 95% CI = -0.22 - -0.03\* Effect size = -0.96

## **Results: Depression Symptom Severity**

![](_page_47_Figure_1.jpeg)

*Slope*<sub>1</sub> 95% CI = -0.73 - -0.33\* Effect size = -0.58

*Slope*<sub>2</sub> 95% CI = -0.17 – 0.03 Effect size = -0.78

## **Results: Depression Symptom Severity**

![](_page_48_Figure_1.jpeg)

*Slope*<sub>1</sub> 95% CI = -0.68 - -0.33\* Effect size = -0.53

*Slope*<sub>2</sub> 95% CI = -0.11 – 0.06 Effect size = -0.61

## **Results: Anxiety Symptom Severity**

![](_page_49_Figure_1.jpeg)

*Slope*<sub>1</sub> 95% CI = -0.43 - -0.11\* Effect size = -0.29

*Slope*<sub>2</sub> 95% CI = -0.11 – 0.05 Effect size = -0.38

#### **Results: Stress Symptom Severity**

![](_page_50_Figure_1.jpeg)

*Slope*<sub>1</sub> 95% CI = -0.58 - -0.27\* Effect size = -0.52

*Slope*<sub>2</sub> 95% CI = -0.11 – 0.06 Effect size = -0.61

#### **Results: BPD-Relevant Interpersonal Problems**

Inventory of Interpersonal Problems 2.0 1.8 -1.6 -1.4 -1.2 -1.0 Pre 3-month 9-month Post Time

*Slope*<sub>1</sub> 95% CI = -0.04 - -0.01\* Effect size = -0.46

*Slope*<sub>2</sub> 95% CI = -0.01 – 0.00 Effect size = -0.83

#### **Results: Social and Vocational Impairment**

![](_page_52_Figure_1.jpeg)

*Slope*<sub>1</sub> 95% CI = -0.42 - -0.06\* Effect size = -0.41

*Slope*<sub>2</sub> 95% CI = -0.13 – 0.04 Effect size = -0.62

## **Results: Quality of Life**

![](_page_53_Figure_1.jpeg)

*Slope*<sub>1</sub> 95% CI = 0.04 – 0.12\* Effect size = 0.44

*Slope*<sub>2</sub> 95% CI = 0.00 – 0.04\* Effect size = 0.72

![](_page_53_Picture_4.jpeg)

#### **Clinical Significance of Treatment Effects in Completers**

**Examined across all treatment completers (n = 39)** 

<u>Criteria:</u> Participants must report a statistically reliable improvement in symptoms and reach normative levels of functioning

	Post-treatment			9-month Follow-up		
Outcome	% Reliable Improve	% Normal Function	% Both Criteria	% Reliable Improve	% Normal Function	% Both criteria
Mediators						
<b>Emotion Dysregulation</b>	33.3	<b>69.2</b>	30.8	55.3	<b>68.4</b>	50.0
Experiential Avoidance	42.1	<b>68.4</b>	<b>39.5</b>	55.3	<b>78.9</b>	50.0
Psychiatric Symptoms						
<b>BPD Symptom (ZANBPD)</b>	50.0	86.8	44.7	52.8	<b>91.7</b>	47.2
<b>BPD Symptom (BEST)</b>	<b>29.7</b>	78.4	27.0	52.6	86.8	50.0
<b>BDI-II Depression</b>	27.0	56.8	<b>16.2</b>	52.6	<b>68.4</b>	42.1
DASS Depression	23.7	55.3	10.5	39.5	<b>65.8</b>	26.3
DASS Anxiety	23.7	39.5	5.2	26.3	55.3	15.8
DASS Stress	31.6	<b>57.9</b>	18.4	34.2	60.5	<b>28.9</b>
Adaptive Functioning						
Interpersonal functioning	34.2	76.3	34.2	44.7	<b>92.1</b>	42.1
Social/Voc. Impairment	32.4	36.8	13.5	32.4	39.5	23.5
Quality of Life	36.1	38.9	16.7	44.7	47.4	28.9 50

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**Deliberate self-harm and other self-destructive behaviors:** 

Change from pre- to post-treatment >50% of participants showed reduction of >70%

#### **Deliberate self-harm:**

- Abstinence rates
  - 51% abstinent during last 2 months of treatment 56% abstinent throughout entire follow-up period

## Conclusions

#### Findings support efficacy of this adjunctive ERGT for DSH in BPD

Significant treatment effects on DSH and self-destructive behaviors, emotion dysregulation, BPD, depression, and stress, and quality of life

#### **Findings support the durability of treatment gains**

 All treatment gains maintained or improved upon at 9-month follow-up
 Continued improvements after treatment in main outcomes of interest (DSH, emotion dysregulation/avoidance, BPD symptoms, quality of life)

Suggests utility of adding this brief group to TAU in the community

- > Does not require match to specific form of individual therapy
- > Was the primary treatment for 43% of participants in this trial

#### **Limitations/Future Directions**

#### **Exclusive focus on women with BPD**

- > Findings may not generalize to youth, men, or individuals without BPD
- > Studies needed to examine the utility of ERGT in these populations

#### **Exclusive reliance on interview-based and self-report measures** > Need objective measures of emotional and interpersonal dysregulation

# Examine emotion regulation as the mechanism of change in ERGT Mediating role of changes in emotion dysregulation in improvements in DSH and other outcomes (BPD, depression, self-destructive behaviors)

#### **Examine other mechanisms of change in this treatment**

- > Enhancement of mentalization (Bateman & Fonagy, 2004)
- > Acceptance of internal experiences (Hayes, Orsillo, & Roemer, 2010)

## **Comments and Questions**